

## Generating Functions:

What is a generating function?

"A zip file for a sequence"

Given sequence  $a_0, a_1, \dots, a_i, \dots$

we "encode" the sequence as coefficients in a power series.

GF

$$a_0 \mu_0(x) + a_1 \mu_1(x) + \dots + a_i \mu_i(x) + \dots$$

"indicator function"

Usual choice for  $\mu_i(x)$  is  $x^i$

This must happen 
$$\sum_{n=0}^{\infty} a_n \mu_n(x) = \sum_{n=0}^{\infty} b_n \mu_n(x)$$

implies  $a_n = b_n$

So indicator functions must satisfy this property

$$\mu^k: \{1, 1+x, 1-x, 1+x^2, 1-x^2, \dots\}$$

Use this set to encode

Seq 1: 3, 2, 6, 0, 0, 0  $\rightarrow$

Seq 2: 1, 2, 6, 1, 1, 0, 0  $\rightarrow$

②

$$\text{Seq 1 : } 3 \cdot 1 + 2 \cdot (1+x) + 6 \cdot (1-x) = -4x + 11$$

$$\text{Seq 2 : } 1 \cdot 1 + 2 \cdot (1+x) + 6 \cdot (1-x) + 1(1+x^2) + 1(1-x^2)$$

$$= 11 - 4x$$

Some typical indicator sets.

- (i)  $x^n$  ordinary
- (ii)  $\frac{x^n}{n!}$  exponential

(iii)  $\frac{x^n}{(n!)^2}$  doubly exponential

(iv)  $\frac{x^n}{(n)_!}$  — Eulerian  
where  $(n)_! = (1+q)(1+q+q^2)+\dots(1+q+\dots+q^{n-1})$

(v)  $\frac{x^n}{q^{\binom{n}{2}} n!}$  chromatic

(vi)  $\frac{x^n e^{-x}}{n!}$  poisson

(vii)  $\frac{x^n}{1-x^n}$  lambert



(3)

Denote OGF (ordinary generating function)  
as  $G(a_k; x) \leftarrow$  power series expansion  
of function defined by sequence  $\{a_k\}_{k \in \mathbb{N}}$

Define "extraction operator"

$$[x^k] G(a_k; x) = a_k$$

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$$a_0 = 1 \quad a_1 = n \quad a_2 = \binom{n}{2} \dots a_n = \binom{n}{n}$$

$\curvearrowright$

$$\sum_{k=0}^n \binom{n}{k} x^k = (1+x)^n$$

$\underbrace{\qquad\qquad\qquad}_{\binom{n}{k}}$

Recall:  $\binom{n}{k} = \frac{n!}{(n-k)! k!}$

$$\sum_{k=0}^n \frac{n!}{(n-k)!} x^k = ?$$

$$\sum_{k=0}^n \left( \frac{n!}{(n-k)!} \right) \frac{x^k}{k!} \rightsquigarrow \sum_{k=0}^n \binom{n}{k} x^k = (1+x)^n$$

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Want general formula for the Fibonacci #'s:  
 $\{F_n\}$

$$\text{Recurrence: } \sum F_{n+1} = \sum F_n + F_{n-1} \quad F_{-1} = 0, F_0 = 1$$

$$\text{Form OGF w/ } \sum_{n \geq 0} F_{n+1} x^n = \frac{1}{x} \sum_{n \geq 0} F_{n+1} x^{n+1}$$

$$(i) \quad \frac{1}{x} \sum_{k \geq 1} F_k x^k \quad (\text{since we set } k = n+1)$$

$$= \frac{1}{x} \left[ \sum_{k \geq 0} F_k x^k - F_0 \right]$$

$$(ii) \quad \sum_{n \geq 0} F_n x^n$$

$$(iii) \quad \sum_{n \geq 0} F_{n-1} x^n = \sum_{n \geq 1} F_{n-1} x^n = x \sum_{n \geq 1} F_{n-1} x^{n-1}$$

$$\text{Let } \sum_{n \geq 0} F_n x^n = \phi(x)$$

$$\text{So.. } \frac{1}{x} (\phi(x) - 1) = \phi(x) + x \phi(x)$$



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$$\phi(x)^{-1} = x\phi(x) + x^2\phi(x)$$

$$-x^2\phi(x) - x\phi(x) + \phi(x) = 1$$

$$\phi(x)[1 - x - x^2] = 1$$

$$\text{So... } \frac{1}{1 - x - x^2} = \sum_{n \geq 0} F_n x^n$$

$$\text{Apply } F_n = [x^n] \left( \frac{1}{1 - x - x^2} \right)$$

$$(1 - x - x^2) = (1 - \alpha x)(1 - \beta x)$$

$$1 - (\alpha + \beta)x + \alpha\beta x^2$$

$$-(\alpha + \beta) = -1 \Rightarrow \alpha + \beta = 1$$

$$\alpha\beta = -1$$

$$(1 - \beta)\beta = -1 \quad \beta \cdot \beta^2 = -1$$

$$\beta^2 - \beta - 1 = 0 \Rightarrow \beta = \frac{+1 \pm \sqrt{5}}{2}$$

$$\text{Let } \beta = \frac{1 + \sqrt{5}}{2}; \quad \alpha = \frac{1 - \sqrt{5}}{2}$$

$\phi_1$

$\phi_2$

⑥

$$\frac{1}{1-\alpha x} = \sum_{n \geq 0} (\alpha x)^n; \quad \frac{1}{1-\beta x} = \sum_{n \geq 0} (\beta x)^n$$

$$\text{So... } \frac{1}{1-x-x^2} = \frac{1}{\sqrt{5}} \left[ \sum_{n \geq 0} \alpha^{n+1} x^n - \sum_{n \geq 0} \beta^{n+1} x^n \right]$$

$$\text{Then } [x^n] \left( \frac{1}{1-x-x^2} \right) = \frac{1}{\sqrt{5}} (\alpha^{n+1} - \beta^{n+1})$$

$$\text{or } F_n = \frac{1}{\sqrt{5}} \left( \left( \frac{1+\sqrt{5}}{2} \right)^{n+1} - \left( \frac{1-\sqrt{5}}{2} \right)^{n+1} \right)$$

$$(1+x)^r = 1 + \sum_{k=1}^{\infty} \frac{r(r-1) \cdots (r-k+1)}{k!} \cdot x^k$$

$$E = mc^2 \quad m(v) = m_0 \cdot \frac{1}{\sqrt{1-v^2/c^2}}$$

$$\text{Let } \beta = \frac{v}{c}$$

$$m_0 \left( 1 - \beta^2 \right)^{-1/2}$$



⑦

$$x = -\beta^2 \quad r = -\frac{1}{2}$$

$$m(v) = 1 + \frac{(-\frac{1}{2})(-\beta^2)}{1!} + \frac{(-\frac{1}{2})(-\frac{3}{2})(\beta^4)}{2!}$$

$$+ \frac{(-\frac{1}{2})(-\frac{3}{2})(-\frac{5}{2})(-\beta^6)}{3!} + O(\beta^8)$$

$$= 1 + \frac{\beta^2}{2} + \frac{3}{8}\beta^4 + \frac{15}{48}\beta^6 + O(\beta^8)$$

$$E = m_0 c^2$$

$$E = m_0 c^2 \left( 1 + \frac{v^2}{2c^2} + \frac{3}{8} \frac{v^4}{c^4} + \frac{15}{48} \frac{v^6}{c^6} + O\left(\left(\frac{v}{c}\right)^8\right) \right)$$

$$E = \underbrace{m_0 c^2}_{\text{rest energy}} + \underbrace{\left[ \frac{m_0 v^2}{2} + \frac{3}{8} m_0 \frac{v^4}{c^2} + \dots \right]}_{\text{classical kinetic}} \quad \left. \vphantom{\frac{m_0 v^2}{2}} \right]_{\text{relativistic KE}}$$